Employee Post-Travel Disclosure of Travel Expenses

SECRETARY OF THE SENATE PUBLIC RECORDS 2018 JUL 18 PM 3: 34

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building?

In compliance with R	ule 35.2(a) and (c). I n	nake the following disclo	sures with respect t	to travel expenses that have been or w
	or me. I also certify tha		osures with respect t	o traver expenses that have occur or w
	•	orization (Form RE-1), grification Form with all		ary, invitee list, etc.)
Private Sponsor(s) (lis	st all): US Association	on of Former Membe	ers of Congress ((FMC)
L		8 - Saturday, June 2	-	
Name of accompanying Relationship to Travel	ng family member (if a ler: \square Spouse \square	ny): N/A Child		·
	COSTS IN EMPLOYEE	EASE DUE TO THE ACC EXPENSES. (Attach addi		USE OR DEPENDENT CHILD, ONLY ary.)
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☐ Good Faith Estimate	\$4459.45	\$1130.73	\$293.24	\$764.82 (interpreter, meeting room rental fee,
Actual Amount				English-speaking tour guide)
Expenses for Accomp	panying Spouse or De	ependent Child (if applie	able):	
•	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☐ Good Faith Estimate	N/A	N/A	N/A	N/A
□ Actual Amount				
				Attach additional pages if ole program on Friday, June 22)
7/1/5	Aarlato	n Atkinsen	A A	
(Date)	(Printed r	name of traveler)		(Signature of traveler)
TO BE COMPLETE	D BY SUPERVISING	MEMBER/OFFICER:		

I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

7/18/18 (Date)

Gignature of Supervising Senator/Officer)

(Revised 1/3/11)

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(Revised 10/19/15)

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U	Pre-Travel Filing Instruc
	prior to the travel departure
	Incomplete and late travel form must be typed and is
	at ethics.senate.gov. Retair
	required nost-travel disclos

EMPLOYEE PRE-TRAVEL AUTHORIZATION

<u>Pre-Travel Filing Instructions</u>: Complete and submit this form at least 30 days prior to the travel departure date to the <u>Select Committee on Ethics</u> in <u>SH-220</u>. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form <u>must</u> be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

D	ate/Time Stamp:	
	3 .	

Form RE-1

Name of Traveler:	Carleton Atkinson
Employing Office/Committee:	Office of Sen. Ben Cardin
Private Sponsor(s) (list all): US Association of	Former Members of Congress
Travel date(s): Saturday, June 16 to Saturda	ay, June 23, 2018
Note: If you plan to extend the trip for any	y reason you <u>must</u> notify the Committee.
Destination(s): Tokyo and Fukushima, Japan	n
Explain how this trip is specifically connected to	the traveler's official or representational duties:
This trip provides a unique opportunity to learn more more about how Japan deals with several important in Preparedness & Healthcare). (FORM ORIGINALLY)	about the best practices of my colleagues in other state offices while learning ssues impacting our state that I work on (Cybersecurity, Trade, Emergency SUBMITTED ON MAY 16TH 2018)
Name of accompanying family member (if any):_ Relationship to Employee: Spouse Chile	d
I certify that the information contained in this form	n is true, complete and correct to the best of my knowledge:
	14-65
JUNE 8,2018	
(Date)	(Signature of Employee)
TO BE COMPLETED BY SUPERVISING SENATOR Secretary for the Majority, Secretary for the Minority, a linear secretary for the Minority for the Minor	hereby authorize <u>Carleton Atkinson</u>
an employee under my direct supervision, to accept related expenses for travel to the event described a	(Print Traveler's Name) of payment or reimbursement for necessary transportation, lodging, and above. I have determined that this travel is in connection with his or her d will not create the appearance that he or she is using public office for
	mployee's spouse or child is appropriate to assist in the representation
of the Senate. (signify "yes" by checking box)	inhinher a abonac or citien is abbinchingte to assist in me tebrescitivition
4/11/18	Benjamin L. Carden
(Date)	(Signature of Supervising Senator/Officer)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler:	Carleton Atkinson
Employing Office/Committee:	Office of U.S. Sen. Ben Cardin
Private Sponsor(s) (list all): US Ass	ociation of Former Members of Congress (FMC)
Travel date(s): June 16th - June 2	2018
	e trip for any reason you <u>must</u> notify the Committee.
Destination(s): Tokyo and Fukush	ima, Japan
	onnected to the traveler's official or representational duties:
Transpacific Partnership (TPP) During	rectors a unique educational forum to examine Important issues in the bilateral U.SJapan ty alliance, Japan's post-Fukushima energy policy, and trade relations including the their week in Japan, participants will meet with key federal and local government officials as mmunity leaders on these issues impacting bilateral relations and their work in Congress
Name of accompanying family member	er (if anv):
Relationship to Employee: Spouse	Child
I certify that the information contained MAY 15, 2018 (Date)	in this form is true, complete and correct to the best of my knowledge: (Signature of Employee)
Secretary for the Majority, Secretary for the Complete Co	G SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, e Minority, and Chaplain):
i, <u>Jen. Ben Car</u>	din hereby authorize Carleton Atkinson
(Print Senator's/Officer's Nam	(Print Traveler's Name)
Lange of the to the CACUIT	on, to accept payment or reimbursement for necessary transportation, lodging, and described above. I have determined that this travel is in connection with his or her eholder, and will not create the appearance that he or she is using public office for
5/16/8 (Pate)	ce of the employee's spouse or child is appropriate to assist in the representation box) Suyumn Gignature of Supervising Senator/Officer)
Revised 10/19/15)	Form RE-1

JOHNNY ISAKSON, GEORGIA, CHAIRMAN CHRISTOPHER A. COONS, DELAWARE, VICE CHAIRMAN

PAT ROBERTS, KANSAS JAMES E. RISCH, IDAHO

BRIAN SCHATZ, HAWAII JEANNE SHAHEEN, NEW HAMPSHIRE

DEBORAH SUE MAYER, CHIEF COUNSEL AND STAFF DIRECTOR EMILY GERSHON, CHIEF CLERK

HART SENATE OFFICE BUILDING, ROOM 220 SECOND AND CONSTITUTION AVENUE, NE WASHINGTON, DC 20510-8425

> TELEPHONE: (202) 224-2981 FACSIMILE: (202) 224-7416 TDD: (202) 228-3752

United States Senate

SELECT COMMITTEE ON ETHICS

June 12, 2018

Carleton Atkinson
Office of Senator Benjamin L. Cardin
United States Senate
Washington, DC 20510

Dear Mr. Atkinson:

This responds to your recent correspondence concerning an invitation you received to travel on the 2018 U.S. District Directors Study Tour to Tokyo, Fukushima, and Sendai, Japan, on June 16-23, 2018, sponsored by the U.S. Association of Former Members of Congress (FMC). FMC certified to the Select Committee on Ethics (the Committee) that it will pay the necessary expenses related to the travel and that it is neither a lobbyist, nor lobbying firm, nor agent of a foreign principal, and it is not otherwise acting as a representative or agent of a foreign government. FMC has also certified that it does not retain or employ a registered lobbyist or agent of a foreign principal and that no registered lobbyist will accompany you at any point throughout your trip.³

Based on information and materials available to the Committee, and assuming the actual travel and travel-related expenses conform to the information and materials you provided, it appears that the proposed payment or reimbursement of necessary expenses for this trip may be accepted under relevant Senate Rules and the Committee's Regulations and Guidelines for Privately-Sponsored Travel, so long as at the time of the payment or reimbursement, FMC is neither a registered lobbyist nor lobbying firm under the Lobbying Disclosure Act of 1995, nor an agent of a foreign principal under the Foreign Agents Registration Act (and is not otherwise acting as a representative or agent of a foreign government), and provided the travel and all required documents are disclosed to the Secretary of the Senate in accordance with the provisions of Senate Rules 34 and 35.

The Japan-U.S. Friendship Commission (Commission) has provided the funding for this trip through a grant to FMC. Because the Commission is an agency of the federal government, it is not a "private sponsor" for purposes of privately sponsored travel. Contributions to Senate travel paid by the Commission are permissible under paragraph 1(c)(16) of Senate Rule 35 (the Gifts Rule), which allows Members, officers, and employees of the Senate to accept anything paid for by a federal, state, or local government.

² The term "necessary expenses" has a specific definition. See Select Committee on Ethics' Regulations and Guidelines for Privately-Sponsored Travel – Glossary of Terms at 8.

³ The term "any point throughout your trip" has a specific definition. See Select Committee on Ethics' Regulations and Guidelines for Privately-Sponsored Travel – Glossary of Terms at 2.

Under Senate Rule 35, Senate staff must receive advance authorization signed by the Member or officer under whose direct supervision the individual works in order to accept payment or reimbursement for necessary expenses related to fact-finding travel. Further, such authorization and expenses must be disclosed to the Secretary of the Senate by filing the completed Employee Pre-Travel Authorization and the Employee Post-Travel Disclosure of Travel Expenses (Form RE-1 and Form RE-2), along with a copy of the Private Sponsor Travel Certification Form, and all relevant attachments (e.g., the private sponsor's invitation and itinerary) within 30 days of the conclusion of Privately-Sponsored Travel.

The Committee has determined that § 501(c)(3) non-profit organizations that are classified as private foundations, as opposed to public charities, may not pay for transportation from the United States to a foreign country.⁴ However, FMC represented to the Committee that it is a § 501(c)(3) public charity, as opposed to a private foundation, pursuant to the Internal Revenue Code.⁵

Please be advised that the United States Constitution prohibits the acceptance of any gift, including a meal, from a foreign government without the consent of Congress. In the Foreign Gifts and Decorations Act (FGDA), Congress consented to the acceptance by federal government officials of: (1) gifts of minimal value and (2) travel or the expenses for travel taking place entirely outside the United States, from a foreign government (which includes those acting as a representative or agent of a foreign government).⁶ The Senate has defined minimal value as \$100 or less. Further, pursuant to FGDA, there are certain reporting and procedural requirements that are imposed upon Members, officers, and employees who accept gifts from a foreign government. Please review the Committee's "Dear Colleague" letter of January 16, 2018, setting forth the items to be included in filing that report.

Finally, Senate Rule 34 requires a reporting individual,⁷ on his or her Financial Disclosure Report, to make an annual disclosure of the receipt of payments or reimbursements under Senate Rule 35 from a private sponsor for officially-related travel expenses where, in the aggregate, travel expenses exceed \$390 from that sponsor during a calendar year. However, if a Member, officer, or employee properly reports the receipt of necessary expenses for such travel to the Secretary of the Senate within 30 days of the travel, as discussed above, the travel expenses need not be disclosed a second time on their Financial Disclosure Report.

⁴ See 26 U.S.C. § 4941 et seq. Section 501(c)(3) of the Internal Revenue Code broadly defines non-profit, charitable, tax-exempt organizations. These § 501(c)(3) organizations are then further defined or designated as "public charities" and "private foundations."

⁵ 26 U.S.C. § 501(c)(3).

⁶ 5 U.S.C. § 7342.

⁷ A reporting individual is someone whose salary equals or exceeds 120% of the basic rate of pay for GS-15 (\$126,148 for CY 2018) or is a political fund designee and is required to file Financial Disclosure Reports.

If you have any additional questions, please do not hesitate to contact the Committee.

Sincerely,

Deborah Sue Mayer

Chief Counsel and Staff Director

Debruh Sur Mayor

Enclosure: Travel Checklist

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

S	oonsor(s) of the trip (please list all sponsors): US Association of Former Members of Congress (FMC)
D	escription of the trip: Please see attached.
	ates of travel: Saturday, June 16 to Saturday, June 23, 2018
	ace of travel: Tokyo and Fukushima, Japan
	ame and title of Senate invitees: Please see attached Addendum A.
Ια	eertify that the trip fits one of the following categories:
X	(A) The sponsor(s) are not registered lobbyists or agents of a foreign principal <u>and</u> do not retain or employ registered lobbyists or agents of a foreign principal <u>and</u> no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip. - OR -
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
×	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
	- AND -
×	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
Ιd	eertify that:
×	The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for <i>de minimis</i> lobbyist involvement. - AND -
×	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

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	at if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a
	ncipal, one of the following scenarios applies:
overnig	he trip is for attendance or participation in a one-day event (exclusive of travel time and one ght stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, or employee on any segment of the trip. — OR —
overni	the trip is for attendance or participation in a one-day event (exclusive of travel time and two ght stays) and no registered lobbyists or agents of a foreign principal will accompany the er, officer, or employee on any segment of the trip (see questions 6 and 10). - OR -
of the l	he trip is being sponsored only by an organization or organizations designated under § 501(c)(3) Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will pany the Member, officer, or employee at any point throughout the trip.
If the trip is	Y IF YOU CHECKED QUESTION 9(B) not
by-hou	erary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hour-ir), complete, and final itinerary for the trip.
Differry des	cribe the role of each spansor in organizing and conducting the trip.
Please se	cribe the role of each sponsor in organizing and conducting the trip:
Please se	cribe the role of each sponsor in organizing and conducting the trip: e attached.
Please se	
Briefly des	e attached.
Briefly des	e attached. cribe the stated mission of each sponsor and how the purpose of the trip relates to that mission:
Briefly des Please se	e attached. cribe the stated mission of each sponsor and how the purpose of the trip relates to that mission: e attached.
Briefly des Please se	e attached. cribe the stated mission of each sponsor and how the purpose of the trip relates to that mission:
Briefly des Please se	e attached. cribe the stated mission of each sponsor and how the purpose of the trip relates to that mission: e attached. cribe each sponsor's prior history of sponsoring congressional trips:
Briefly des Please se	e attached. cribe the stated mission of each sponsor and how the purpose of the trip relates to that mission: e attached. cribe each sponsor's prior history of sponsoring congressional trips:

Please see attached	1 .			
	•			
			<u>. </u>	
<u> </u>			<u> </u>	<u> </u>
Total Expenses for E	Each Participant:			
	Transportation	Lodging	Meal	
	Expenses	Expenses	Expenses	Other Expenses
	Atkinson: \$4252.52	\$1140.84	\$710	\$600
Good Faith	Bloom: \$3543.12 Jaynes: \$3781.52	For details please	For details please	For details plea
estimate	For details please	see attached.	see attached.	see attached
☐ Actual	see attached.			
Amounts				
participation or b) the congressional partici	trip involves an event the trip involves an event ipation:	that is arranged or or	ganized specifically w	•
participation or b) the congressional partici	ne trip involves an event ipation:	that is arranged or or	ganized specifically w	•
participation or b) the congressional particition. This trip is organized	ne trip involves an event ipation: d specifically with regard	that is arranged or or to congressional par	ganized specifically w	•
participation or b) the congressional particition. This trip is organized.	the location of the event	that is arranged or or to congressional par	ganized specifically w	•
participation or b) the congressional particition. This trip is organized	the location of the event	that is arranged or or to congressional par	ganized specifically w	
participation or b) the congressional particition. This trip is organized.	the location of the event	that is arranged or or to congressional par	ganized specifically w	•
participation or b) the congressional participation. This trip is organized. Reason for selecting. Please see attached.	the location of the event	to congressional par	ganized specifically w	•
participation or b) the congressional particity. This trip is organized Reason for selecting. Please see attached Name and location or selection or selection or selection.	the location of the event	to congressional par t or trip facility:	ganized specifically w	•
participation or b) the congressional participation. This trip is organized. Reason for selecting. Please see attached. Name and location of the congression of the congression of the congression.	the location of the event. I specifically with regard the location of the event.	to congressional part or trip facility: //inato-ku, Tokyo, Jap	ganized specifically was	vith regard to
participation or b) the congressional participation. This trip is organized Reason for selecting. Please see attached Name and location of 1) Hotel Okura Toky. 2) Richmond Hotel F.	the location of the events. If hotel or other lodging to (2-10-4 Toranomon, N	to congressional par facility: //inato-ku, Tokyo, Jap (2-1-1 Chuo, Aoba-ku	ganized specifically was	vith regard to
Participation or b) the congressional participation. This trip is organized Reason for selecting. Please see attached Name and location of 1) Hotel Okura Toky. 2) Richmond Hotel F. Reason(s) for selections.	the location of the event of hotel or other lodging to (2-10-4 Toranomon, No.	that is arranged or or to congressional part facility: Alinato-ku, Tokyo, Jap (2-1-1 Chuo, Aoba-kug facility:	ganized specifically was	0021)

21.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:		
	Daily expenses are below U.S. Department of States' maximum foreign per diem rates.		
22.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first		
<i>LL</i> .	class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:		
	They will travel on economy for domestic flight in Japan and economy-plus airfare for international and		
	connecting flights. Ground transportation will consist of domestic trains and a privately-chartered bus.		
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).		
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:		
	None		
25.	I hereby certify that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you must include a completed signature page for each additional sponsor): Signature of Travel Sponsor:		
	Name and Title: Sabine Schleidt, Chief Operating Officer		
	Name of Organization: U.S. Association of Former Members of Congress (FMC)		
	Address: 1401 K Street NW, Suite 503 Washington, DC 20005		
	Telephone Number: (202) 222-0972		
	Fax Number:		
	E-mail Address: SSchleidt@usafmc.org		

Continuation of the Senate Private Sponsor Travel Certification Form:

2.

The Congressional Study Group on Japan (CSGJ) – a non-partisan, non-advocacy legislative exchange housed in the U.S. Association of Former Members of Congress (FMC), a congressional chartered 501(c)(3) non-profit organization – will conduct its 2018 State Director Study Tour to Japan from June 16-23, 2018. This Study Tour offers Senate State Directors a unique educational forum to examine important issues in the bilateral U.S.-Japan relationship such as the bilateral security alliance, Japan's post-Fukushima energy policy, and trade relations including the Transpacific Partnership (TPP). During their week in Japan, participants will meet with key federal and local government officials as well as top experts, academics, and community leaders on these issues impacting bilateral relations and their work in Congress. Trip participants will also gain a greater understanding of the unique cultural and religious components of Japanese society and their intersections and high importance to Japanese politics and business.

The trip complements FMC's year-round Capitol Hill programming, which provides a forum for substantive dialogue on the issues most relevant to the U.S.-Japan strategic alliance and the East Asia region.

12.

FMC is the sole sponsor of the trip and is responsible for organizing the itinerary and arranging meetings with officials, expert speakers, and local leaders. FMC is solely responsible for all invitations to and communications with trip participants, as well as the funding for the 2018 State Director Study Tour to Japan. All of the funding for this trip is provided by grant from the Japan-U.S. Friendship Commission, an independent federal agency created by Congress in 1975.

13.

FMC – a congressional chartered 501(c)(3) non-profit organization – administers the Congressional Study Group on Japan through its international program. Through the Study Group, FMC creates constant channels of communication and dialogue for U.S. Members of Congress and their staff to interact in a meaningful way with their counterparts in Japan. The 2018 Study Tour offers senior congressional staff a unique educational forum to explore key issues in the bilateral U.S.-Japan relationship and supports CSGJ's and FMC's educational mission.

14.

FMC has sponsored congressional travel to Germany, Turkey, Japan, and Republic of Korea since 1983, which marked the first annual Congress-Bundestag Seminar hosted under the auspices of FMC's Congressional Study Group on Germany. Since 1983, FMC has convened these annual seminars for members of the U.S. Congress and German Bundestag for 32 straight years, with delegations alternating between in the U.S. and Germany each year.

FMC has organized delegations through its Congressional Study Group on Japan since January 2014 and has brought five Congressional Member delegations and four Senior Congressional Staff delegations.

15.

FMC's flagship program, Congress to Campus, brings a bipartisan pair of former Members of Congress to college campus across the nation to discuss civic education, importance of political participation, and functions of Congress with students throughout the year. FMC also holds regular public panels at the National Archives with issues ranging from the Vietnam War to women in politics.

The Congressional Study Group on Japan (along with its sister programs, The Congressional Study Groups on Germany, Europe, and Korea) convene monthly roundtables on Capitol Hill for Members of Congress and senior congressional staff. Each program focuses on substantive dialogue between American lawmakers and their peers abroad, and when appropriate, also includes high-level representatives from the U.S. administration, academia, industry, and the diplomatic community here in Washington. For example, last year the Study Group convened roundtables with the groups of Japanese Diet Members, a meeting with the Governor of Aichi Prefecture, and a roundtable with the Japanese Ambassador to the U.S.

16.

Transportation Expenses:

Mr. Carleton Atkinson – total of \$4252.52 (Flights between the closest airport and Narita: \$3489.31, Bullet train from Tokyo to Koriyama: \$98.21, Flight from Sendai to Tokyo: \$120, Chartèred-bus divided by a total number of passengers: \$545)

Mr. Greg Bloom – total of \$3543.12 (Flights between the closest airport and Narita: \$2779.91, Bullet train from Tokyo to Koriyama: \$98.21, Flight from Sendai to Tokyo: \$120, Chartered-bus divided by a total number of passengers: \$545)

Mr. Patrick Jaynes – total of \$3781.52 (Flights between the closest airport and Narita: \$3018.31, Bullet train from Tokyo to Koriyama: \$98.21 Flight from Sendai to Tokyo: \$120, Chartered-bus divided by a total number of passengers: \$545)

<u>Lodging Expenses</u>: Total of \$1140.84 (including breakfast) - \$264.28 in Tokyo/night for three nights, \$116 in Sendai for three nights

Meal Expenses: Total of \$710 - \$200/day in Tokyo for 2.5 days (Sunday dinner to Tuesday dinner), \$60/day in Sendai for 3.5 days (Wednesday lunch to Saturday mid-morning)

Other Expenses: Total of \$600 (Interpreter in Tokyo and Sendai: \$150, English-Speaking Guide: \$250, Meeting room rental fee: \$100, (all divided by total number of participants), Travel Insurance: \$100/person)



THE CONGRESSIONAL STUDY GROUPS

GERMANY
Charles W. Dent (R-PA)
Ted Deutch (D-FL)

JAPAN

Diana DeGette (D-CO)

Billy Long (R-MO)

EUROPE

Jeff Fortenberry (R-NE)

Peter Welch (D-VT)

April 4, 2018

Dear Colleague:

It is our pleasure to invite you to join The Congressional Study Group on Japan's inaugural Study Tour for District Directors from June 16, 2018 to June 23, 2018. The theme of this trip is "science and technology cooperation" and the delegation will visit Tokyo, Sendai and Fukushima.

The Congressional Study Group on Japan – a 501(c)(3) non-profit – has more than twenty years of history conducting successful, high-level, discussion-driven study tours for Members of Congress and senior congressional staff, which complement an active calendar of year-round programs on Capitol Hill. Fervently non-partisan and non-advocacy, our model promotes an active, substantive discussion among all principals. Our model avoids lengthy speeches or formal presentations that hinder the development of lasting working relationships based on mutual respect and understanding.

The Study Tour for District Directors provides an exciting new opportunity to build U.S.-Japan relationships at the regional and local levels of government and civil society, beyond the traditional Washington-Tokyo relationship. Our Congressional Study Group on Germany has convened similar trips for District Directors in 2016 and 2017, both of which have seen great success. The 2018 Study Tour to Japan will visit Tokyo and Tohoku (Sendai and Fukushima) with the thematic focus on science and technology. Together with a variety of leading officials and experts from academia, civil society, industry, the media, and government, you will have the opportunity to discuss topics of mutual concern and areas for cooperation in science and technology, including:

- Innovation: transportation, start-up culture, public-private partnership,
- Energy: renewables, the future of nuclear energy
- Demographic change: robotics, artificial intelligence, medical device development

During the trip, you will also have an opportunity to learn other issues, including the U.S.-Japan security alliance, regional and bilateral trade, and the roles of the district offices in Japan.

We have obtained funding for eight District/State Directors from the House and the Senate and are able to provide economy-plus airfare on ANA for this bipartisan delegation. All lodging, transportation, and scheduled meal costs will be paid for by the U.S. Association of Former Members of Congress (FMC), a congressionally-chartered, 501(c)(3) non-profit organization, with the generous support of the Japan-U.S. Friendship Commission, an independent federal agency created by Congress in 1975. Please note that this privately-sponsored trip will be submitted to the Ethics Committee for congressional approval.

Addendum A – Participant List

Mr. Carleton Atkinson, State Director, Office of Sen. Ben Cardin (D-MD)

Mr. Greg Bloom, State Director, Office of Sen. Tom Udall (D-NM)

Mr. Patrick Jaynes, State Director and Deputy Chief of Staff, Office of Sen. Lamar Alexander (R-TN)

Tokyo is the financial and political hub of Japan, and the senior staff delegation will meet with American and Japanese government officials, journalists and issue experts on issues ranging from security, women's status to trade.

Tohoku, the northeastern portion of Japan that includes Fukushima and Sendai, was the epicenter of the March 2011 Great East Japan earthquake and resulting tsunami and nuclear disaster at Fukushima Daiichi nuclear power plant. This disaster caused the deaths of tens of thousands of Japanese citizens as well as two American citizens. The earthquake and tsunami resulted in major damage to coastal areas and was compounded by nuclear contamination from the damaged Fukushima Daiichi plant, which forced the evacuation of tens of thousands of Japanese whom remain displaced to this day. Through meetings and site visits, trip participants will hear from local leaders and experts on how the disaster has impacted their community, how the U.S. has supported the region in the aftermath of the disaster, and what challenges remain with regards to energy needs and decontamination efforts.

Participant List

- Mr. Carleton Atkinson, State Director, Office of Sen. Ben Cardin (D-MD)
- Mr. Greg Bloom, State Director, Office of Sen. Tom Udall (D-NM)
- Mr. Patrick Jaynes, State Director and Deputy Chief of Staff, Office of Sen. Lamar Alexander (R-TN)
- Mr. Brent Wiles, State Director, Office of Sen. Bob Corker (R-TN)

This invitation is non-transferrable, and participation is available on a first-come, first-served basis for a diverse, bipartisan delegation. We look forward to traveling with you, and thank you in advance for your consideration.

Best,

Sabine Schleidt

Chief Operating Officer

Soline Schlight

Alexis Terai

Senior Programs Officer

PETER M. WEICHLEIN CHIEF EXECUTIVE OFFICER

SABINE SCHLEIDT
MANAGING DIRECTOR

SHARON WEST WITIW
DOMESTIC PROGRAMS DIRECTOR

ANDREW LOEB SHOENIG ASSOCIATE DIRECTOR OF INTERNATIONAL PROGRAMS

RACHEL HAAS
SENIOR EXECUTIVE ASSISTANT TO THE CEO

LORRAINE HARBISON SENIOR PROGRAMS OFFICER

ALEXIS AYANO TERAI
INTERNATIONAL PROGRAMS OFFICER

International Programs of FMC
An Association Chartered by the United States Congress



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CLIFF STEARNS
PRESIDENT

MARTIN FROST VICE PRESIDENT

AMBASSADOR CONNIE A. MORELLA DIPLOMATIC ADVISORY COUNCIL CHAIR

SECRETARY NORMAN Y. MINETA HONORARY CHAIRMAN





Creating the 21st Century Alliance: 2018 U.S. District Directors Study Tour

Saturday, June 16, 2018 to Saturday, June 23, 2018 Tokyo, Fukushima, and Sendai

Hotels

Hotel Okura

2-10-4 Toranomon

Minato-ku

Tokyo 105-0001

Tel: +81-3-3582-0111

Richmond Hotel Premier Sendai Ekimae

2-1-1 Chuo

Aoba-ku

Sendai 980-0021

Tel: +81-22-716-2855

<u>Saturday</u>, <u>June 16, 2018</u>

Ms. Loni Hagerup

7:55 – 10:20am SEA (Seattle) from MKE (Milwaukee)

11:47am – 2:30pm NRT (Tokyo) from SEA (Seattle)

*Arrival on Sunday, June 17th

Mr. Tom Kelly

12:35pm – 3:20pm NRT (Tokyo) from DEN (Denver)

*Arrival on Sunday, June 17th

Ms. Anushree Jumde

5:37 – 6:55am DFW (Dallas/Fort Worth) from LIT (Little Rock)

10:50am – 2:00pm NRT (Tokyo) from DFW (Dallas/Fort Worth)

*Arrival on Sunday, June 17th

Ms. Megan Sims

6:24 – 7:40am DFW (Dallas/Fort Worth) from IND (Indianapolis)

10:50am – 2:00pm NRT (Tokyo) from DFW (Dallas/Fort Worth)

*Arrival on Sunday, June 17th

Mr. Carleton Atkinson

7:30 – 9:49am SLC (Salt Lake City) from IAD (Washington Dulles)

11:10am – 12:15pm SEA (Seattle) from SLC (Salt Lake City)

Mr. Greg Bloom

9:52 – 11:08am DEN (Denver) from ABQ (Albuquerque) (1h16min)

12:35pm – 3:20pm NRT (Tokyo) from DEN (Denver) (11h45min)

*Arrival on Sunday, June 17th

Mr. Patrick Jaynes

7:45 – 8:57am 11:48am – 2:35pm ATL (Atlanta) from TYS (Knoxville) (1h12min) NRT (Tokyo) from ATL (Atlanta) (13h47min)

*Arrival on Sunday, June 17th

Sunday, June 17, 2018

Mr. Carleton Atkinson

11:47am – 2:30pm 11:47am – 2:30pm NRT (Tokyo) from SEA (Seattle)

*Arrival on Monday, June 18th

2:00 - 3:30pm

Participants arrival in Narita

4:00 - 5:30pm

Bus ride from Narita to Hotel Okura

5:30pm

Check-in at a hotel

7:00 - 8:30pm

Welcome Dinner Briefing: Trip Preview

Dinner roundtable led by Ms. Alexis Terai, Senior Program Officer,

FMC

• Introduction of participants

• Mission of the sponsor and the trip

Preview of meeting partners and site visits
 Q&A

Nobu - Tokyo .

4-1-28 Toranomon, Minato-ku, Tokyo

(03-5733-0070)

Monday, June 18, 2018 < Tokyo>

8:00 - 9:30am

Overview of Japan: Politics, Economy, Energy, and Social Issues Breakfast roundtable with Tokyo-based journalists: Mr. Masakatsu Ota; Senior Writer, Kyodo News

- Working breakfast on overview of Japanese politics and economy, US-Japan bilateral relationship
- 7min opening remarks by discussant
- Rest Q&A Session moderated by a delegate

Hotel Okura, 2-10-4 Toranomon; Minato-ku, Tokyo

9:45 - 10:15am

Bus ride from the hotel to next meeting

10:30am - Noon

Communicating Prime Minister Abe's Policies to the World Discussion with Mr. Tomohiko Taniguchi, Speechwriter and Special

Advisor for Prime Minister Shinzo Abe

- 10:30-11:30am Perspective from the Prime Minister's office on the U.S.-Japan relationship
- Q&A Session moderated by a delegate
- 11:30am-Noon: Tour of kantei, guided by Mr. Taniguchi

Kantei, 2-3-1 Nagatacho, Chiyoda-ku, Tokyo

Noon – 12:10pm

Bus ride from the kantei to the next meeting

12:15 - 1:15pm

Tour of the National Diet Building, a Japanese Parliament, guided by a staff from the Japanese Diet's International Division

The National Diet is Japan's bicameral legislature, composed of a lower house (House of Representatives) and an uppder house (House of Councillors). This building was completed in 1936. The tour, guided by a staff from the Diet, will include visiting the Committee rooms and the House Chambers, and will allow the delegation to learn the history of Japanese legislature.

National Diet of Japan

1-7-1 Nagata-cho, Chiyoda-ku, Tokyo

1:30 - 2:30pm

Informal Lunch at a cafeteria of the Office Building of the House of Representatives in the Japanese Diet

Diet Office Building of the House of Representatives, 2-1-1 Nagata-cho, Chiyoda-ku, Tokyo

Mr. Carleton Atkinson

2:30pm

Arrival to Narita Airport

3:30 - 5:00pm

Bus ride from the airport to the hotel

2:30 – 3:00pm Bus ride from the Diet C

Bus ride from the Diet Office Building to the next meeting

3:00 - 4:30pm

The Influence of Buddhism and Shintoism in Japanese Society Visit to Meiji Jingu Shrine, and tour guided by priest

Meiji Jingu, dedicated to Emperor Meiji, the first emperor of modern Japan, is of Shinto origin, a religion native to Japan which centers on polytheistic nature worship and whose origin goes back to primitive times. Over its long history, Shintoism has developed into a religion that has become truly unique in the world, adopting continental influences to combine with its own indigenous traditions. Japanese spiritual and daily life is deeply rooted in Shintoism, and participants will learn of the significant role it plays in the life and mindset of Japanese citizens and politicians.

1-1 Yoyogikamizono-cho, Shibuya-ku, Tokyo

 $4:45 - \hat{5}:15$ pm

Bus ride to a hotel

6:00 - 6:30pm

Bus ride from a hotel to the next meeting (Mr. Atkinson joins the program)

6:30 — 8:30pm

Informal Dinner with mid-level diplomats from Ministry of Foreign Affairs of Japan

- 7:00-8:00pm: Brief introduction of each diplomat (four to five), including their background and current assignment, conversation on their experience working in the Japanese Embassy in Washington, D.C.
- 8:00-9:00pm: Informal dinner

Andy's Hinomoto, 2-4-4 Yurakucho, Chiyoda-ku, Tokyo

Tuesday, June 19, 2018 < Tokyo>

8:00 - 9:30am

Demographic Change in Japan

Breakfast roundtable with Ms. Yumiko Murakami, Head, OECD Tokyo Centre

- Working breakfast on the current status of population decline in Japan, comparison with OECD countries
- 30min presentation
- Rest Q&A Session moderated by a delegate

Hotel Okura, 2-10-4 Toranomon, Minato-ku, Tokyo

9:40 - 9:55am

Bus ride from the hotel to next meeting

10:00 - 11:30am

Limited Country Briefing, followed by a meeting with Amb. William Hagerty, the U.S. Ambassador to Japan

Briefing by select heads of sections such as Political, Economic, Commerce,
 Public Affairs, and Consular on the current state of bilateral relationship

• Conversation with Amb. Hagerty on the Embassy's priorities in Japan and Asia.

U.S. Embassy – Tokyo, 1-10-5 Akasaka, Minato-ku, Tokyo

11:30 - 11:45am

Bus ride from the U.S. Embassy to a next meeting

11:45am -1:15pm

People-to-People Exchange between the United States and Japan Lunch conversation with Ms. Paige Cottingham-Streater, Executive Director of the Japan-U.S. Friendship Commission

• Roundtable conversation on the importance of cultural and educational exchange for the U.S.-Japan relationship, current status and challenges facing education of next generation of future U.S. leaders in Asia

Tofuya Ukai, 4-4-13 Shibabkoen, Minato-ku, Tokyo

1:15 - 2:30pm

Bus ride from the lunch to a next meeting

2:30 - 3:45pm

How the District Office Works in Japan

Visit to the district office of The Hon. Taro Kono, Member of the Lower House; Minister of Foreign Affairs

 Meeting with District Director working at Diet's district office and discussion on the comparison of functions of district offices in the US and Japan. Tour of the district office.

Hiratsuka District Office, 26-8 Yaezaki-cho, Hiratsuka-shi, Kanagawa OR

Chigasaki District Office, Tsuyuki Bldg 2F, 1-2-3 Jukkenzaka, Chigasaki-shi, Kanagawa

3:50 - 4:15pm

Bus ride from the district office to a next meeting

4:15 - 5:45pm

Model of Future Town

Visit to Fujisawa Sustainable Smart Town and briefing by representatives from Panasonic

 A briefing and a tour of Fujisawa Sustainable Smart town by a Panasonic representative to learn the cutting-edge technology used to create sustainable living

6-21-1 Tsujido Motomachi, Fujisawa-shi, Kanagawa

5:45 - 7:00pm

Bus ride from Fujisawa to a hotel in Tokyo

7:15 - 7:45pm

Bus ride from a hotel to the next meeting

7:45 - 9:15pm

Foreigners in Japan 101: Expectation and Reality

Dinner roundtable with Patrick Harlan, commentator, lecturer at Tokyo Institute of Technology

Roundtable conversation on experience living in Japan as an American, the cultural difference in business and communication between the US and Japan, how Japanese TV audience views American political process, and traits of young Japanese students

Yumeya Kyomachi Shizuku, Yaesu-ten, Nisshin Bldg 2F, 3-2-17 Nihonbashi, Chuoku, Tokyo

Wednesday, June 20, 2018 <Tokyo/Fukushima/Sendai>

Starting at 6:30am Breakfast available at hotel 8:00am Check-out and departure from the hotel 8:48 - 10:21am Depart on bullet train (Yamabiko 43) from Tokyo Station to Koriyama Station 11:00 - 11:30 am Update on Fukushima (CANCELLED) Meeting with The Hon. Masao Uchibori, the Governor of Fukushima Prefecture 10:30 - Noon Bus ride from the station to the next meeting 11:00 - 11:15am What Happened in March 11 2011 Bus Briefing by Ms. Paige Cottingham-Streater, Executive Director, Japan-U.S. Friendship Commission Bus briefing on the aftermath of the 3.11 earthquake by Ms. Streater who then was responsible for American government employees working in Japan through Mansfield Fellowship, and her experience coordinating with the U.S. Embassy in Tokyo 11:15- Noon How Japanese Electoral System Works Bus briefing on Japanese Political System An educational documentary called "Senkyo" that follows a candidate who runs for a city council in Kawasaki Noon - 12:30pmInformal Lunch Abukuma Kogen Service Area 12:30 - 1:30pm Bus ride from the lunch venue to the next meeting Seven Years After 3.11: Future of Nuclear Energy in Japan 1:30 - 5:00pmVisit to Fukushima Daiichi Nuclear Power Plant

1:30 – 2:15pm: Briefing

2:15 – 2:45pm: Bus ride from the TEPCO building to the Fukushima nuclear power plant

3:00 – 4:00pm: Bus tour of the nuclear power plant

• 4:00 – 4:30pm: Bus ride from the Fukushima nuclear power plant to the TEPCO building

22 Kitahara Ottozawa Okuma-machi, Futaba-gun, Fukushima

5:00 - 6:30pm

Bus ride from Fukushima to Sendai City

6:45pm

Check-in at a hotel

6:50 - 7:00pm

Walk from the hotel to the next meeting

7:00 - 8:30pm

Tohoku from Young Americans' Perspectives

Dinner with American expats working in Sendai Prefectural Government

- Introduction of participants
- 7-10 min remarks by each American professional on their experience on 3.11 earthquake and tsunami, their perspectives on the recovery of the Tohoku region, and working in Japan

Date no Irori Kurano-Sho, So-honten AK Building 4F, 1-8-38 Chuo, Aoba-ku, Sendai-shi, Miyagi

Thursday, June 21, 2018 < Sendai>

Starting 6:30am

Breakfast available at a hotel

9:30 - 10:00am

Bus ride from the hotel to the next meeting

10:00 - 11:00am

Revitalizing Local Economy After 3.11

Meeting with representatives from Tohoku Economic Federation and

Tohoku ILC Promotion Council

• Conversation on the current status of local economy after the earthquake in 3.11, and how the introduction of International Linear Collider in the Tohoku region may help boost the economy.

2-9-10 Chuo, Aoba-ku, Sendai, Miyagi

11:00am - 12:30pm

Bus ride from Sendai to Ishinomaki City

12:30 - 1:30pm

What the World Can Learn from Japan on Emergency Preparedness Lunch discussion with Mr. Richard Halberstadt, Director of Ishinomaki Community & Info Center

• Conversation on Ishinomaki city, one of the most affected municipalities after the tsunami of March 2011, and its lessons in emergency preparedness.

Chuo 2-8-11, Ishinomaki City, Miyagi

1:30 - 2:15pm

Challenges and Opportunities After Seven Years Since the 3.11

Earthquake

Visit to Ishinomaki Community & Info Center, guided by Mr. Richard Halberstadt

• Tour of the center that displays charts, figures pictures of the disaster, and the ongoing reconstruction efforts of the city.

Chuo 2-8-11, Ishinomaki City, Miyagi

2:15 - 3:15pm Bus ride from Ishinomaki to Sendai

3:30 - 5:15pm Education in Japan, Memories of 3.11 and Future of Tohoku

Discussion with students from Miyagi Daisan High School

- Visit to an English class and learn about the education system in Japan
- Small group discussion with high school students on life in Tohoku, young generation's perspective on Japan and the U.S.-Japan bilateral relationship

1-19 Tsurugaya, Miyagino-ku, Sendai

5:15 - 5:30pm Bus ride from Miyagi Daisan High School to hotel

6:45 – 7:00pm Walk from hotel to the next meeting

7:00 – 8:30pm Informal Dinner

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Chiyono-Kura, 3-6-10, Chuo, Aoba-ku, Sendai

Friday, June 22, 2018 <Sendai>

Starting 6:30am Breakfast available at a hotel

9:00 – 9:30am Bus ride from the hotel to the next meeting

9:30 - 11:00am Rebuilding the Community Medical System

Visit and tour of Tohoku Medical Megabank Organization (ToMMo) and discussion with professors at Tohoku University

- 9:30 10:00am: Discussion on ToMMo's efforts to realize personalized medicine and create an attractive and thriving center of innovation leading to fast-track recovery of the Tohoku region
- 10:00 11:00am: Tour of the facilities, including Supercomputer Room, Biobank, DNA Sequencers Room, and Sendai Community Support Center

2-1 Seiryo-machi, Aoba-ku, Sendai, Miyagi

.11:00am - Noon Bus ride from the hotel to the next meeting

12:15 – 1:00pm Informal Lunch

Grille Tamaya, 98-9, Chonai, Matsushima, Miyagi-gun, Miyagi

1:15 – 2:45pm Western Influence on Japan's History during the 15th Century Guided visit to Entsuin Temple

Entsuin Temple was built in 1647 as the memorial temple of Date Mitsumune, a grandson of Date Masamune, one of the most powerful feudal lords. This Buddhist temple houses the mausoleum of Date Mitsumune, which is decorated in Western symbols such as diamonds, hearts, crosses. For its historical significance, the castle site has been designated a National Historic Monument by the Japanese Government. The visit will allow the delegation to learn about Japan's encounter with the Christian West during the 15th century, and how it impacted the modern Japanese industry and political system.

67, Chonai, Matsushima, Miyagi-gun, Miyagi

3:00 - 3:50pm Boat ride from Matsushima Port to Shiogama Port and boat briefing

• Audio tour of Matsushima, which was visited by numerous Japanese political and military leaders, such as Shogunate Masamune Date in the 17th century, how art work on Matsushima – poetry and paintings – particularly by a haiku poet Matsuo Basho, has influenced the modern Japanese culture, and aftermath of tsunami in 2011 and its impact on the local scenery and economy.

4:00 – 4:45pm Bus briefing on History of Sake

 An educational documentary on history of sake, its importance to Japanese economy, and governments' historical involvement in expanding the industry through both regulation and deregulation.

11:45am — 1:15pm — Combatting Cyberattacks on Critical Instructure (CANCELLED)

Lunch and visit to Control System Security Center (CSSC), a technology

Research Association

2:00 — 3:45pm U.S. — Japan Alliance: Disaster Relief Cooperation (CANCELLED)

Visit to Japan Ground Self-Defense Force North Eastern Army and

discussion with their leadership

4:00 - 5:00pm Japanese History and Tradition (CANCELLED)

Guided visit to Aoba Castle by a local tour guide

5:00 – 5:30pm Bus ride from the Shiogama Port to the hotel

6:00 – 6:15pm Bus ride from the hotel to the next meeting

6:30 – 8:00pm Closing Dinner: Lessons Learned

Debrief dinner conversation on what each participant learned during the trip, how they will get back the knowledge and experience to the District/State

Sumiyaki Wagyu Wabiichi, 2-1-10 Kakyoin, Aoba-ku, Sendai, Miyagi

Saturday, June 23, 2018

Ms. Anushree Jumde

6:45am Departure from hotel to the airport via Narita Skyliner

8:01am Arrival at Narita Airport

10:55am – 8:35am DFW (Dallas/Fort Worth) from NRT (Narita) 10:30am – 11:45am LIT (Little Rock) from DFW (Dallas/Fort Worth)

*Arrival on Saturday, June 23rd

Rest of the group

7:45am Departure from the hotel

8:16 - 9:47am Depart on bullet train (Hayabusa 6) from Tokyo Station to Koriyama

Station

10:33am –12:31pm Departure from Tokyo Station to Narita Airport via Narita Express

Ms. Loni Hagerup

3:40pm – 2:20pm DTW (Detroit) from NRT (Tokyo)
7:55pm – 8:11pm MKE (Milwaukee) from DTW (Detroit)

*Arrival on Saturday, June 23rd

Mr. Tom Kelly

5:15pm – 12:35pm DEN (Denver)) from NRT (Narita)

*Arrival on Sunday, June 23rd

Ms. Megan Sims

6:40pm – 4:35pm DFW (Dallas Fort Worth) from NRT (Tokyo) 6:35pm – 9:45pm IND (Indiana) from DFW (Dallas/Fort Worth)

*Arrival on Saturday, June 23rd

Mr. Carleton Atkinson

3:40 – 2:20pm DTW (Detroit) from NRT (Tokyo) (11h40min)

8:35 – 10:11pm IAD (Washington Dulles) from DTW (Detroit) (1h36min)

*Arrival on Saturday, June 23rd

Mr. Greg Bloom

5:15 – 12:35pm DEN (Denver) from Narita (Tokyo) (10h20min) 3:24 – 4:45pm ABQ (Albuquerque) from DEN (Denver) (1h21min)

*Arrival on Saturday, June 23rd

Mr. Patrick Jaynes

4:30 – 4:07pm ATL (Atlanta) from NRT (Tokyo) (12h37min) 5:35 –6:36pm TYS (Knoxville) from ATL (Atlanta) (1h1min)

*Arrival on Saturday, June 23rd